Cajetan notes that there are seven points with respect to the second definition which have to be considered. The first of these deals with the word quo. The word quo is appropriately used to signify a principle as the ratio of the essence or of the operations; for we speak of the principle of life as the ratio of living by which we live, or we speak of the principle of knowledge as the ratio of knowing by which we know. However, according to Cajetan, quo is to be understood in abstraction from whether it coincides with quod or not. He interprets quo in this way in order to avoid the difficulty presented by the expression quo intelligimus, for he interprets this as equivalent to quod intelligit. Therefore, quo does not exclude an identification with quod.

The second point to be noted is the word <u>vivimus</u>. Cajetan distinguishes this word from <u>vivitur</u> because it is not a question of the metaphysical notion of life, i.e. as it applies to separated substances or God, but simply of sensible animate substances.

According to the interpretation of Cajetan this word can be taken in two ways. It can be taken to refer to "esse vitali," or in another way it can be taken "pro operatione vitali." The same distinction could be made concerning the words sentimus, movemur, and intelligimus. However, it might be objected that these other words are proper names of operations and not common to both operation and esse as is the word vivere. In answer to this objection, Cajetan notes that this twofold manner of speaking flows from the fact that the words can be taken for that which they signify, i.e. the operations, or for the roots of these operations. In this way, properties are used in the place of unknown specific differences, so that here sentimus would be taken to refer to "esse sensitivo," movemur to refer to "esse motivo," and intelligimus to

<sup>&</sup>lt;sup>1</sup>Cajetan, <u>In De Anima</u>, II, c. 2, n. 99.

refer to "esse intellectivo," just as <u>vivere</u> is taken for "esse vitali." However, for Cajetan, the truth, efficacious-ness, and quality of the demonstration is the same for either interpretation.

The fourth point, as well, has to do with the word vivinus. As we noted in the interpretation of St. Thomas, the word was taken to refer to the vegetative grade of living things but in the interpretation of St. Albert, it was taken to refer in a generic way to all four grades of living things. Cajetan argues for both interpretations but in the end he agrees with St. Albert. He maintains that the word vivinus should be taken "formaliter," i.e. as it signifies life according to any and all of the modes of life, i.e. vegetative, sensitive, locomotive, and/or intellective. It should not be limited to the vegetative grade of living beings but should extend to each and every grade of living beings.

The fifth point concerns the joining of the grades of living things in the words vivinus, et sentimus et movemur et intelligimus. Cajetan points out three interpretations of these words taken in conjunction. According to the first interpretation the words are to be understood separately and only joined, as it were, accidentally for the sake of brevity. With this interpretation we would have four middle terms for the demonstration of the first definition of the soul: the soul is that by which we live would be one; the soul is that by which we move would be another; the soul is that by which we move would be the fourth. The second way of understanding these words is to take them together, so that the middle term would signify that the soul is the principle of all of these joined together. This, of course, limits the definition to the

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<sup>&</sup>lt;sup>1</sup>Cajetan, <u>In De Anima</u>, II, c. 2, n. 101.

<sup>&</sup>lt;sup>2</sup>Ibid., n. 102

<sup>3</sup> Ibid., n. 103

human soul, for it is the only one which has all of these. Cajetan considers both of these interpretations inaccurate. The second is inaccurate because it limits the notion of soul to that of the human soul, and Aristotle criticized the ancient philosophers for just this error. The first is unacceptable for we would have more definitions of the soul than we have souls to define. There are only three souls but in the definition there are four words. This situation arises as a result of the fact that even though locomotion constitutes another grade of living things, another soul is not determined according to it. The third way of taking these four words is what Cajetan calls "conjunctio explicativa," and it is this interpretation which he considers accurate. In this interpretation the middle term, i.e. the definition, is both common to every soul and proper to each grade of living things. The word vivimus is here taken "formaliter" as common to every and proper to each grade of living things, and the words which follow, sentimus et movemur et intelligimus, merely explain its meaning.

The sixth point concerns the word primo. The interpretation which Cajetan puts on this word can be expressed trenchantly in Latin by his phrase "secundum quod ipsum." This can be seen in the relation of <u>lucere</u> to <u>lux</u>, for <u>lucere</u> belongs to <u>lux primo</u>, i.e. "secundum quod ipsum" or in virtue of itself and not through something else but other things through it. In applying the word <u>primo</u> to the expression <u>quo</u> <u>vivimus</u>, Cajetan points out that <u>vivere</u> does not belong primarily to that by which we live but primarily to that which lives. Therefore, he interprets <u>primo</u> to signify that soul is primarily that <u>by which</u> we live and not that life primarily belongs

laristotle, De Anima, I, c. 1, 402 b 5.

<sup>&</sup>lt;sup>2</sup>Cajetan, <u>In De Anima</u>, II, c. 2, n. 103.

<sup>&</sup>lt;sup>3</sup>Ibid., n. 104

to soul. In Latin this is neatly expressed by "cui primo convenit quod sit quo vivimus." This interpretation seems to agree with that of St. Thomas, who simply refers to the soul as the first principle by which we live.

The seventh point concerns the whole definition, or the middle term of the demonstration of the first definition of the soul. Here, Cajetan makes a distinction between taking the definition in abstration from actual exercise and taking the definition to refer to soul as it is actually exercising its function as the principle of our life. In the first case, soul would be that to which it belongs to be the first principle of our life whether it actually carries this into effect or not. It is this sense which Cajetan thinks is the more profound.

## 2 - The Demonstration

Cajetan makes a sevenfold division of his consideration of the demonstration. First, he distinguishes the different ways in which the intention of Aristotle in this demonstration can be understood, and second, he considers which of these seems in keeping with the text. Third, he examines the middle term, i.e. the second definition, which examination we have given above. Fourth, he considers the minor term. Fifth, he treats the propositions from which the demonstration proceeds. Sixth, he evaluates the force of the demonstration. Seventh, he makes apparent the mode of the demonstration, i.e. a priori or a posteriori.

Concerning the first, there are three possible inter-

Cajetan, <u>In De Anima</u>, II, c. 2, n. 104.

<sup>2</sup>St. Thomas, In II De Anima, lect. 3, n. 253.

<sup>&</sup>lt;sup>3</sup>Cajetan, <u>In De Anima</u>, II, c. 2, n. 105

pretations of Aristotle's intention to prove that the soul is act. 1 First, he could intend to prove that soul in itself is form and substantial act; second, that it is form and substantial act formally giving existence to an organized body; or third, that it is the form and substantial act of the body, in whatever way this may happen, e.g. as a sailor in a ship or as a principle of operation which is intrinsic and proportionate to the body. In the first way, God is also act; in the second way, material forms are acts; in the third way, the soul of the heavens, according to some, or the intellective soul, according to Averroes, is act and form.

The second step which Cajetan takes is an evaluation of the above interpretations in the light of the text of Aristotle. As a conclusion of his demonstration, Aristotle states that the soul is species and ratio, not matter and subject. However, all the commentators agree that Aristotle is demonstrating the first definition of the soul by the second. The first definition does not merely state that the soul is first act in itself but that it is the first act of a physical organized body. Therefore, the first interpretation seems insufficient.

Cajetan next considers the third interpretation which leaves the meaning of the word act open to various acceptations. According to this interpretation the soul is the act of the body but the word act is taken as common to various uses of the word, e.g. as a sailor is the act of a ship in the sense of the mover of the ship. This interpretation is favored by Averroes because of his insistence upon the use of the word soul as "fere pura equivocatione," and the fact that the first definition applies to the intellective soul as well as to the vegetative and sensitive soul. However, if the definition has

<sup>&</sup>lt;sup>1</sup>Cajetan, <u>In De Anima</u>, II, c. 2, n. 95.

<sup>&</sup>lt;sup>2</sup>Ibid., n. 96.

Averroes, In Aristotelis De Anima, II, n. 7; cf. n. 21

different meanings as applied to the different souls, then it could not be demonstrated by means of the same middle term, for that which is said equivocally is not demonstrated by one and the same middle term. Since Aristotle demonstrated through one and the same middle term that soul is act, this interpretation cannot hold. But this interpretation seems to be fostered by Aristotle himself by the statement that it is not manifest if soul is act like the sailor of a ship. However, this is a point in which all of the Peripatetics agreed that Aristotle differed from Plato. For a proper interpretation of Aristotle's statement it is necessary to realize that at this point in Aristotle's treatment of the first definition, i.e. before the first definition had been demonstrated by the second, he had not yet established the definitive ratio of soul sufficiently to refute the opinion of Plato. 1. 1.

Cajetan saves the second interpretation for his final consideration because it is this interpretation with which he agrees. This interpretation neither limits Aristotle's meaning as does the first nor leaves it open to equivocation as does the third. What Aristotle intends to conclude is that the soul, because it is that by which we live, sense, move, and think primarily, is the act and substantial form of such a body. However, the soul can be the act of the body in two ways: either as actually united to the body or by leaving out of consideration whether it is actually united or not. Cajetan considers the second of these to be intended by Aristotle as the conclusion of the demonstration, for Aristotle later gives a corollary in which he concludes the actual union of soul and body. It is because the soul is here demonstrated to be that kind of thing which is essentially the perfection of a body, that it can further be shown to be in a body and a body of such a kind.

Since we considered Cajetan's third point, i.e. the

Aristotle, De Anima, II, c. 1, 413 a 8.

middle term, when we considered the definition itself, we will now take up the fourth aspect of the demonstration, i.e. the minor term. Under this heading there are four interpretations of the minor term, soul. The first interpretation takes the word soul as signifying in communi. Just as the subject of the major term is soul as it signifies in common, so also should the minor term signify in common, for in a demonstration they have to be the same. The second interpretation takes soul for each soul in particular. This opinion comes from the understanding that in the first definition soul was defined in common, but in the second it was defined according to what was proper to each soul. The third interpretation takes soul to refer only to the human soul. This interpretation follows if we take the words vivimus et sentimus, etc. conjunctively. The fourth interpretation, which Cajetan favors, takes the word soul formally according to its total formal extension (secundum totam suam formalem latitudinem). When the word soul is taken in this manner, i.e. formally (formaliter). it coincides not only with the common notion but also extends to what is proper and thus contains the whole notion of soul.

The fifth step is a consideration of the propositions of the demonstration. <sup>2</sup> Cajetan gives the demonstration as follows:

Quo vivimus et sentimus et movemur et intelligimus primo est ratio et species et non ut materia et subjectum; anima est quo vivimus, sentimus, et movemur et intelligimus primo; ergo anima est ratio et species et non ut materia et subjectum.

The minor Cajetan considers per se nota. The major, however, he proves by considering the twofold way in which it is possible to speak of that by which we live and sense. He uses the examples of knowing and being healthy. Since know-

<sup>&</sup>lt;sup>1</sup>Cajetan, <u>In De Anima</u>, II, c. 2, n. 106.

<sup>&</sup>lt;sup>2</sup><u>Ibid</u>., n. 107.

SIbid.

ledge and health are that by which <u>primarily</u> we know and are healthy, they are the form and species and <u>ratio</u>, as the act of that which is in potency to them. It is because the act of that which is actualized is in that which receives it and is disposed to it that that by which primarily we live, sense, etc. is species and <u>ratio</u>.

The sixth step is an evaluation of the force of the demonstration. There are two difficulties which must be cleared up: the first has to do with the whole force of the argument simply, and the second has to do with the force of the argument with respect to the intellective soul.

The first difficulty arises out of a doubt as to whether the argument proceeds from particular propositions or from a false universal proposition which is not explicitly stated. If Aristotle proceeded merely from the propositions expressed, he proceeded from particular propositions, e.g. "quo vivimus et sentimus dupliciter, "3 "quo scimus et quo sanamur dupliciter,"4 "horum scientia et sanitas est species."5 The one universal proposition which he does express, "Videtur enim in patiente et disposito activorum inesse actio, "6 has no dependence or relation to the particular propositions except in the sense of a certain reasoning from precedents. However, if, as Averroes asserts, the argument proceeds from the universal proposition, not expressly stated by Aristotle, that every action is attributed to a being because of two principles, one of which is the form and the other the matter, ' the argument proceeds, in that case, from what is false,

<sup>&</sup>lt;sup>1</sup>Cajetan, <u>In De Anima</u>, II, c. 2, n. 109.

<sup>&</sup>lt;sup>2</sup><u>Ibid.</u>, n. 112.

<sup>3</sup> Aristotle, De Anima, II, c. 2, 414 a 4.

<sup>&</sup>lt;sup>4</sup><u>Tbid.</u>, 414 a 5, 414 a 7,

<sup>&</sup>lt;sup>5</sup>Ibid.. 414 a 9.

<sup>6</sup> Ibid., 414 a 11.

<sup>&</sup>lt;sup>7</sup>Averroes, <u>In Aristotelis De Anima</u>, II, n. 24.

according to Cajetan. It would be possible to say that we are healthy in virtue of our body and medicine, and yet neither of these is form. However, if we limit our understanding of this proposition to refer to the essential principles, there is in the minor an assumption of the conclusion: the body and soul are essential principles.

As a solution to this difficulty, Cajetan suggests that Aristotle is proceeding according to a certain proportion between the twofold way of speaking about that by which we know and are healthy and the twofold way of speaking about that by which we live, sense, etc. Aristotle assumes this is obvious and according to common speech. He is proceeding from what is obvious to all to what is more difficult. Once it is accepted that we know by knowledge and the soul, knowledge being the form and the soul the matter, then we can infer that it is the same for that by which we live. The only thing that is passed over in silence by Aristotle is the fact that form is that by which primarily the agent operates. However, this is contained in the middle term.

The second difficulty has to do with the force of the argument with respect to the intellective soul. Here, either that which is false is assumed, or else the demonstration is without force. Since the foundation of the syllogism is the fact that that by which we live and sense is said in a two-fold way, either explicitly or implicitly, this distinction applies to that by which we think, or else it does not apply. However, we do not think by the soul and the body. If that by which we think is included, the demonstration proceeds from what is false. If it is not included, then the conclusion loses its force with respect to the intellective soul. The soul as the act of a physical organized body is established only if there are two principles of which we can say they are that by which we live and sense, etc. That by which primarily

<sup>&</sup>lt;sup>1</sup>Cajetan, <u>In De Anima</u>, II, c.2, n. 111.

we live, sense, etc. is the form. However, if there are not two principles by which we think but only one, it would be impossible to conclude that there is a <u>primary</u> principle by which we think, which is the act of that which is capable of receiving this act.

The solution which Cajetan finally gives to this difficulty is based on something Aristotle brings up later on in this book. The grades of souls are related to one another like the different kinds of figures. A four-sided figure contains potentially a three-sided figure. So also the vegetative soul is contained in the sensitive and the sensitive in the intellective. Therefore, since in the intellective soul the sensitive and vegetative are included potestatively, it is necessarily the act of a body. In the text of Aristotle, this can be seen by the way in which he proceeded. First, he established that that by which we live and sense is said in a twofold way. He then expanded it in the definition to include the intellective soul by saying that the soul is that by which we live, sense, move, and think. Therefore, soul, including the intellective soul, is the act of the body.

The seventh point which Cajetan treats in his consideration of the demonstration deals with the relation of the middle term to the conclusion. It is necessary here to recall Cajetan's commentary on the middle term. As we have seen, he distinguished two senses according to which the words quo vivimus, etc. can be understood. In one sense the soul is understood as actually acting as the principle of life, i.e. "cui primo convenit quod actualiter et exercite [sit] ratio vitae nostrae." In the other sense the soul is taken abstract-

<sup>&</sup>lt;sup>1</sup>Cajetan, <u>In De Anima</u>, II, c. 2, n. 114.

<sup>&</sup>lt;sup>2</sup>Aristotle, <u>De Anima</u>, II, c. 3, 414 b 20.

<sup>3&</sup>lt;u>Ibid.</u>, c. 2, 414 a 4.

<sup>&</sup>lt;sup>4</sup>Cajetan, <u>In De Anima</u>, II, c. 2, n. 115.

<sup>&</sup>lt;sup>5</sup><u>Ibid.</u>, n. 105.

ly as the principle of life. In this sense the soul is that to which it belongs to be the primary <u>ratio</u> of our life, whether it is actually exercising this function or not. Cajetan adopted the second interpretation as the correct understanding of the definition.

If we interpret the middle term in the sense that the soul is actually exercising its function as the principle of life, then the demonstration is an a posteriori demonstration. In the order of nature the soul is the act of the body before the operations of living and sensing actually arise from it. Cajetan understands this to be St. Thomas' interpretation of the demonstration.

Cajetan's interpretation of the demonstration is based on his understanding of the definition taken abstractly, i.e. leaving out of consideration whether or not the soul is actually carrying into effect the fact that it is the ratio of life. If the middle term is taken in this way then it is prior in nature to the conclusion. The soul is essentially the act and perfection of the living body because it essentially belongs to it to be primarily that which is the ratio of our life and not vice versa. This can be seen in the case of other forms, e.g. health is the perfection of the healthy body because in itself it is the first principle of our being healthy (prima ratio nostri esse sani); it is not the first principle of our being healthy because it is the perfection of our body. The basis of this reasoning is found in the fact that it is appropriate or inappropriate for something to be perfective of that which is receptive of such a perfection in virtue of that by which something is primarily located among beings. A consideration of forms reveals the truth of this statement according to Cajetan. Because form is the first principle of the being of something, it holds the first place among beings. Therefore, the specific difference of each form is primarily located in the universe because it is the first principle of such a nature (primo ratio talis esse). From this it follows

that the soul is primarily distinguished from others and constituted in itself because it is the first principle of the life of that which is living.

The difference between the first definition and the second now becomes apparent. The first definition indicates that the soul is perfective of matter. The second definition primarily locates the nature of the soul among the parts of the universe. In the light of this interpretation of the definition, it is evident that the second definition states both the fact (quia) and the cause of the fact (propter quid). The second definition states that the soul is perfective of matter and why it is perfective of matter, i.e. because it is the first principle of our life (prima ratio nostrae vitae).

Cajetan clearly presents his interpretation of the second definition of the soul and the demonstration of the first definition in these seven steps. However, in conclusion we might point out what seem to be the main lines of his thought.

In his consideration of the definition he maintains that it must be understood by abstracting from whether the soul is exercising its function as the principle of life or not. Understanding the definition in this way, it would make no difference whether the words vivinus, sentimus, movemur, and intelligimus were interpreted as referring to the "esse vitali" or taken "pro operatione vitali." In his consideration of the demonstration, his interpretation of it is determined by the interpretation which he placed on the second definition. Since the soul is the ratio of our life, the soul is the form of the body. For Cajetan this is an a priori demonstration that the soul is the form of the body, for that which is the principle of the life of such a kind of being, i.e. a sensible animate substance, must be the form of a body.

Cajetan, In De Anima, II, c. 2, n. 116.

Chapter IV

JOHN OF ST. THOMAS

John of St. Thomas follows St. Thomas in accepting the second definition of the soul as: "Anima est id, quo vivimus, sentimus, movemur et intelligimus primo." He also locates it in the context of the demonstration of the first definition. For this reason, he divides his consideration into two parts. In the first part he considers the definition and in the second part he considers the demonstration of the first definition by the second.

The first thing that John of St. Thomas sets out to show with respect to the definition is, as he says, that this definition is "recta et exacta." The reason which he gives for this is the fact that it expresses everything which pertains to the soul in virtue of its grades and functions. He shows

John of St. Thomas, <u>Cursus Philosophicus Thomisticus</u>, <u>Naturalis Philosophiae</u>, IV Pars: "De Ente Mobili Animato," q. I, art. 2, 9th edition, ed. Reiser, Rome, Marietti, 1936, p. 21, col. b.

<sup>&</sup>lt;sup>2</sup><u>Ibid.</u>, p. 22, col. b.

the truth of this by a detailed consideration of the words of the definition.

The second thing that he questions with respect to the definition is whether it is an essential definition or only descriptive. He brings out the opinions of those who interpret this as an essential definition, e.g. Cajetan, and the opinions of those whom he considers as interpreting it as descriptive, among whom he includes St. Thomas. In the light of his interpretation of the definition, John of St. Thomas concludes that the second definition of the soul is an essential definition.

In his consideration of the demonstration of the first definition by the second, he brings out two problems. The first problem deals with the difference between the two definitions. He maintains that they differ both in their manner of defining and in what is used in the definition. The second problem deals with whether the second definition demonstrates the first a priori or a posteriori.

#### 1 - The Definition

John of St. Thomas' analysis of the definition begins with a consideration of the words <u>id quo</u>. He maintains that the last word in the definition, <u>primo</u>, must be considered together with the words <u>id quo</u>. He interprets this combination as indicating that the soul is a first principle. He combines these words with the words <u>vivimus</u> et <u>sentimus</u> and interprets them as indicating that the soul is the first principle of the vital operations of living beings (<u>vivendi</u>). The word <u>quo</u>

 $<sup>^{</sup>m l}$ John of St. Thomas, <u>Cursus</u>, p. 22, col. b.

<sup>&</sup>lt;sup>2</sup><u>Ibid.</u>, p. 24, col. b.

<sup>&</sup>lt;sup>3</sup><u>Ibid.</u>, p. 21, col. b.

signifies the form or intrinsic principle since the extrinsic principles are not that by which something is constituted in its nature (esse). The efficient cause is that by which something is moved, and the final cause is that for whose sake something comes about. The word primo denotes that the soul is a substantial principle. The operative powers are not that by which we primarily (primo) operate or live, but are the instruments of operating and living. The rest of the words in the definition denote the principal grades and operations of the soul. The words quo vivimus indicate the vegetative grade; quo sentimus, the sensitive grade; quo intelligimus, the intellective grade; and quo movemur, the operation of the appetitive and locomotive powers.

John of St. Thomas brings up three objections to his position. 1 The first objection deals with his interpretation of the word"vivere." It could be objected that the word is common to all the grades of living things and, thus, does not signify the vegetative grade of living things in particular. The second objection involves the word "locomovemur." It either signifies a grade of life or an operative power. It does not signify a grade of life because it follows on the sensitive and intellective grades of life even more than appetite does because progressive motion depends on appetite. Nor does the word "locomovemur" signify a power; for if it is taken for a power, then it could be asked why the appetitive power was omitted from the definition, especially since the appetitive power is more basic than the locomotive power and just as evident to us as the locomotive power. The final objection deals with the fact that the soul is defined as the first principle by which we live and sense and think. It seems that the definition applies only to us and not to all living beings. This interpretation seems to be fortified by the conjunction and (et), for only the human soul has all of these grades of vital operation.

<sup>1</sup> John of St. Thomas, Cursus, p. 22, col a.

John of St. Thomas answers the first objection by pointing out that the word "vivere" can be understood in two ways. In one way it signifies abstractly and in common. In the other way it signifies that which is the first genus of vital operations and the foundation for the other genera of vital operations. It is in this second sense that the word is used in the definition. John of St. Thomas appeals to St. Thomas' commentary to justify his interpretation.

In his reply to the second objection, John of St. Thomas holds that the appetitive power is implicitly entailed by the locomotive power because the locomotive power carries out the inclination of the appetite. He maintains that Aristotle used the word "locomovemur" to signify both the locomotive and appetitive powers. He gives two reasons for this usage. The first is the fact that progressive motion carries out the desires of the appetite; the second, that since progressive motion is an effect more known to us, we come to know the appetitive power through it.

The word we (as included in the word vivimus in the definition) does not indicate, according to John of St. Thomas, that the definition refers only to human beings as the third objection held, but to all living beings. With respect to the word and (et) he distinguishes two possible interpretations. According to the first interpretation the word is taken conjunctively; according to the second, distributively. It is according to this second interpretation that John of St. Thomas understands the word to be used in the definition. According to this interpretation any soul would have at least some one of these operations and thus be included in this definition, even though the human soul would be the only one to have all of

lohn of St. Thomas, Cursus, p. 22, col. a.

<sup>2&</sup>quot;Vivere enim refert ad principium vegetativum, quia superius dixerat, quod vivere propter hoc principium inest omnibus viventibus. "St. Thomas, In II <u>De Anima</u>, lect. 4, n. 273.

John of St. Thomas, Cursus, p. 22, col. b.

<sup>4</sup> Ibid.

them.

John of St. Thomas, in his consideration of the definition as a whole, maintains that it is an essential definition. He notes that Cajetan held that this is an essential definition, but that St. Thomas understood it as a descriptive definition. The reason he gives for maintaining that St. Thomas understood it as a descriptive definition is the fact that St. Thomas saw the definition as given in terms of the operations of life, which are posterior and accidental.

The reasoning John of St. Thomas used to determine that this is an essential definition is based on the fact that it is an ex additione definition. This definition, as well as the first definition, are essential definitions, but they connote something extrinsic, and it is in virtue of the order to that which is extrinsic that the soul is defined. In the second definition that which is connoted as extrinsic is an effect of the soul as efficient cause and something which is more known to us. The basis for this interpretation is the fact that the soul is not a complete substance. A form, such as the soul, cannot be defined absolutely but must be defined in relation to something extrinsic. However, this order of the form is intrinsic and essential. A form is essentially ordered to another which it informs or composes. Moreover, this order is twofold. The first is the order of informing (ordo informandi) and the second is the order to operation (ordo operandi). With respect to the first, the form is the principle of the nature (esse) and has an order to its matter or subject. This first order is more basic and prior since what is brought about is the nature (esse) and substantial species. This order between matter and form is reciprocal, for they are causes

<sup>&</sup>lt;sup>1</sup>Cajetan, <u>In De Anima</u>, II, c. 2, n. 105, 116.

<sup>&</sup>lt;sup>2</sup>John of St. Thomas, <u>Cursus</u>, p. 22, col. b.

<sup>3&</sup>quot;Ex eo enim quod anima est forma corporis viventis, est principium operum vitae, et non e converso." St. Thomas, In II De Anima, lect. 3, n. 253.

with respect to each other. The form is the cause as that which informs the matter, and the matter is the cause as that which receives the form and depends on it for existence. With respect to the second order, the order to operation, the form is the principle of operations, or second act. It is not the proximate principle of operation as is the power but the remote or first principle of operation. Although the substantial form is by its very essence the source of the powers and operations since it is not their source in virtue of something else but in virtue of itself, nevertheless, it is not related to the operations except as effects. The form is not related to the operations as if it were caused by them, in the sense of specified by them.

In his application of these considerations to the second definition of the soul, John of St. Thomas concludes that the second definition is an essential definition of the soul. The second definition is given in terms of the soul as the primary source of the vital operations since it states that the soul is that by which we live and sense primarily. Although these operations are extrinsic to the soul as its effects, nevertheless, the basic order to these operations is essential to the soul. Therefore, the second definition is an essential definition.

There is another interpretation of the definition which, according to John of St. Thomas, shows even more forcefully that the second definition is an essential definition. If by the words "vivere et sentire, etc.," we understand the very act of informing or formal effect by which something is essentially constituted as a living being or a sensing being, then it is obvious that the definition is essential. According to this interpretation the definition is given in terms of a formal effect of the soul which is intrinsic and essential and

 $<sup>^{1}</sup>$ John of St. Thomas, <u>Cursus</u>, p. 24, col. a.

<sup>2</sup>Ibid.

to which the soul has an essential order.

### 2 - The Demonstration

The basic question posed by John of St. Thomas in his commentary on the demonstration of the first definition is how the first definition of the soul is demonstrated by the second. Within the framework of this basic question, he poses two problems. The first problem is concerned with how the second definition differs from the first in view of the fact that they are both given in terms of an order to operations. The second problem is whether the second definition demonstrates the first a posteriori or a priori.

The first problem he poses seems to have very little bearing on his basic question. However, he reaffirms the basic difference between these definitions. He interprets the first definition as basically a definition of the soul as the principle by which the body is informed, although there is added a relation to vital operations in the words "potentia vitam habentis."2 He interprets the second definition as stating that the soul has an essential relation to those effects which result from such a form. He distinguishes two kinds of effects which could follow from such a form. The first he calls formal (formales). The soul would be defined in relation to its formal effects if the words vivimus et sentimus were interpreted as referring to substantial life. The second kind of effects are operations and are in the order of efficient causality. The soul would be defined in relation to this kind of effect if to live and to think were interpreted as signifying operations.

John of St. Thomas, Cursus, p. 24, col. b.

<sup>2</sup> Ibid.

The second problem which John of St. Thomas addresses himself to is whether the second definition demonstrates the first a priori or a posteriori. He notes that Aristotle intended to demonstrate the first definition through what is more known. However, an a posteriori demonstration, since it proceeds from the effects, only establishes the existence of the cause. If we wished to prove the existence of the soul, this could be done through its effects. Through vital operations, such as sensing and thinking, we could prove the existence of the soul a posteriori. But this is not what Aristotle intended to prove. Aristotle intended to prove that the first definition of the soul was correct. According to John of St. Thomas, Aristotle did not take the operations of the soul as its effects but as the very function of the soul (munus seu officium animae) insofar as it is the source of its operations. The demonstration proceeds from the premise that the soul is that to which this function belongs and, therefore, the first act of the body.

John of St. Thomas relies on the commentary of St. Thomas to give weight to his interpretation. He gives the version of the demonstration which St. Thomas used just prior to his commentary on the demonstration of Aristotle. John of St. Thomas maintains that this demonstration does not proceed from an effect. The soul is not demonstrated to be the act of an organized body from vital operations as an effect. The demonstration proceeds from the fact that in a living being there are two parts, the body and the soul, and that part which is the first principle by which a living being lives and senses is the form or first act.

<sup>1</sup> John of St. Thomas, Cursus, p. 25, col. a.

<sup>&</sup>lt;sup>2</sup>Aristotle, <u>De Anima</u>, II, c. 2, 413 a 12.

<sup>3&</sup>quot;Duorum, quorum utroque dicimur esse aliquid aut operari, unum, scilicet quod primum est, est quasi forma, et aliud quasi materia. Sed anima est primum quo vivimus, cum tamen vivamus anima et corpore: ergo anima est forma corporis viventis." St. Thomas, In II <u>De Anima</u>, lect. 4, n. 271.

<sup>&</sup>lt;sup>4</sup>John of St. Thomas, Cursus, p. 25, col. b.

The examples of Aristotle are brought forward to show the truth of this interpretation. Knowledge and health are that by which primarily we know or are healthy or, in other words, that by which we are said to be knowing beings or healthy beings. The form is that by which primarily a thing is said to be of such a kind. Thus, knowledge and health are forms. The soul is that by which primarily we live and sense. Therefore, it is the form or act of the body. The soul is understood here as that by which we are said to be living beings. John of St. Thomas considers it evident from this that the demonstration does not take the operations of the soul as a middle term and proceed from what is extrinsic, i.e. an effect, to the cause. 2 He understands the demonstration to proceed from what is intrinsic to the soul itself, i.e. to be the first principle of those operations. From this fact, the demonstration establishes something else intrinsic to the soul, i.e. that it is the form and act of a physical organized body. This demonstration, according to the mind of John of St. Thomas, proceeds from one intrinsic function (officium) of the soul to demonstrate another intrinsic function (officium) of the soul.

The next question that John of St. Thomas entertains is whether the demonstration which he has just described is an a priori or an a posteriori demonstration and in what sense it proceeds from what is better known. With respect to the first part of the question, he distinguishes two senses according to which the demonstration would be an a posteriori demonstration and another sense according to which it would be an a priori demonstration.

The first interpretation, according to which the demonstration would be an a posteriori demonstration, he

lAristotle, <u>De Anima</u>, II, c. 2, 414 a 5.

<sup>&</sup>lt;sup>2</sup>John of St. Thomas, <u>Cursus</u>, p. 25, col. b.

<sup>3</sup>Ibid.

attributes to St. Thomas. He thinks that this interpretation is true if the second definition is considered according to that which is connoted obliquely (in obliquo). The second definition states that the soul is the principle of living and sensing. Since living and sensing are operations which are posterior and more known to us, and these are obliquely connoted in the definition, if considered in this way, the demonstration proceeds from posterior effects and is thus an a posteriori demonstration.

The second interpretation, according to which the demonstration would be an a posteriori demonstration, is taken from that which is directly placed in the definition. The soul is the principle or source of the operations of living and sensing. The fact that the soul is the principle or source is that which is directly placed in the definition. It is evident that this is not posterior as an effect, for to be the root of operation is not an effect of the nature but, according to John of St. Thomas, the nature itself. However, in the nature of the soul the order to operation is a formality which is posterior to the formality which is the order to matter. Thus, this demonstration can be considered an a posteriori demonstration in the sense that a prior formality is demonstrated by a posterior formality.

The final interpretation of this demonstration is one according to which it would be an a priori demonstration. This interpretation is based on an understanding of the words sentimus et vivimus not as signifying operations but the very nature (esse) of the living and sensing beings. The soul is

l"Illud quod est primum principium vivendi est viventium corporum actus et forma: sed anima est primum principium vivendi his quae vivunt: ergo est corporis viventis actus et forma." St. Thomas, In II <u>De Anima</u>, lect. 3, n. 253.

<sup>&</sup>lt;sup>2</sup>John of St. Thomas, <u>Cursus</u>, p. 26, col. a.

 $<sup>^3</sup>$ Ibid.

<sup>&</sup>lt;sup>4</sup>Ibid.

that by which the nature is primarily brought about. According to this interpretation, then, the soul is demonstrated to be act or form in virtue of a function (officium) required of a form. Since the soul is that by which primarily something is constituted and called such a kind of thing, the soul is the form of such a thing. John of St. Thomas attributes this interpretation to St. Thomas also, although St. Thomas himself clearly states that the demonstration is "ex posteriori."

John of St. Thomas poses the following objection to this final interpretation. To be act or form is not an effect following on the soul but something essential to the soul. Likewise, to be that by which primarily something is constituted is not a formal cause or efficient cause or any other kind of cause of something being act or form. Therefore, this demonstration of the first definition is not an a priori demonstration.

In answering this objection John of St. Thomas distinguishes two ways in which the words form and act can be taken. 4 In one way the words are considered entitively as they are in themselves and are said in contradistinction to potency. In the second way the words are considered under the aspect of form and according to its function of informing. It is not possible to demonstrate that something is form according to the first way in which the word form is taken because the essence of a thing is indemonstrable. However, according to the second way it is possible to demonstrate that something has the function of form. In order for something to have the function of form it is necessary for it to be that by which something is primarily constituted in such and such a nature.

<sup>&</sup>lt;sup>1</sup>St. Thomas, In II <u>De Anima</u>, lect. 4, n. 271.

<sup>&</sup>lt;sup>2</sup><u>Ibid.</u>, lect. 3, n. 253.

<sup>3</sup>John of St. Thomas, Cursus, p. 26, col. b.

<sup>4</sup> Ibid.

John of St. Thomas considers this a valid demonstration because whatever is said with respect to something else is able to be demonstrated by means of that which is absolute. The absolute is the foundation as a prior reason or ground (ratio) for the relative. In this way one divine attribute can be demonstrated by another.

Since the original objection to this a priori demonstration was based on the fact that for something to be a form was not an effect and, thus, could not be demonstrated through its cause, John of St. Thomas shows to what genera of causality this demonstration could belong. He first argues that this demonstration could be reduced to a demonstration which proceeds according to formal causality. His opinion is based on the fact that the foundation of anything is the cause of that of which it is the foundation, in the sense that the foundation specifies that of which it is the foundation, and that of which it is the foundation is derived from the foundation.

He next says that at least such a demonstration could be reduced to a demonstration which proceeds from material causality, in the sense that the foundation holds up that of which it is the foundation. Finally, he argues that even if the demonstration only proceeds from an essentially required condition, it could be reduced to a demonstration which proceeds from causality. It would not belong to the genus of formal causality in the sense of informing something but in the sense of modifying or adding a requisite condition for the constitution of something.

John of St. Thomas gives an analysis of this demonstration to show that it proceeds from what is more known to us. For something to be the act of a physical organized body is

<sup>&</sup>lt;sup>1</sup>John of St. Thomas, <u>Cursus</u>, p. 26, col. b.

<sup>&</sup>lt;sup>2</sup><u>Ibid.</u>, p. 27, col. a.

not as evident to the senses as that something is a being of such a kind, i.e. living and sensing, because this latter is known through the operations. Therefore, in this demonstration it was necessary to place that by which primarily something is constituted as a living being and this, then, ought to be the act informing such a body. It is this formation and actuation which is less known to us.

John of St. Thomas seems to be fully aware of the difference between the interpretation of this definition by St. Thomas and St. Albert. However, for the most part, he is able to see truth in both position. With respect to his interpretation of this definition as an essential definition, he considers himself as disagreeing with St. Thomas, for he maintains that St. Thomas understood the definition as given in terms of the operations of life. John of St. Thomas based his own interpretation on an understanding of the soul as being essentially an order to something else, i.e. the body or operations. If the words vivinus et sentimus were interpreted as signifying the act of informing, then, according to John of St. Thomas, it would be obvious that the definition is an essential definition.

He maintains that the demonstration of the first definition of the soul by the second is either a posteriori or a priori depending on the interpretation of the second definition. The demonstration would be a posteriori if the second definition is interpreted as giving the effects, operations, or a posterior function of the soul, i.e. to be the root of operations. The demonstration would be a priori if the second definition is interpreted as stating that the soul is that by which primarily something is constituted what it is. For John of St. Thomas, therefore, the interpretation of the demonstration hinges on the interpretation of the second definition and that in turn depends on the interpretation of the words vivimus, sentimus, movement and intelligimus.

SECOND PART

CRITICAL ANALYSIS

An introduction to this section seems necessary in order to indicate the procedure to be followed here. We intend to establish an approach to the second definition of the soul in line with a critical edition of the <u>De Anima</u> and with the principles of this science as laid down by Aristotle. In order to do this a critical analysis of the different scholastic interpretations which have been presented will be given in this section. This analysis will attempt to show what runs counter to the thought of Aristotle as well as what seems consonant with his teaching so that we may lay the foundation for an approach to a better understanding of this definition.

The commentaries will be considered in order to see. first, what can reasonably be said concerning the second definition itself and, second, what can reasonably be said concerning the relation which it has to the first definition. In Chapter V entitled "The Definition," the first of these considerations will be taken up. Both the mode of the definition and the parts of the definition will be considered in order to arrive at an understanding of the definition as a whole. In the treatment of the mode of the second definition a parallel will be drawn between the mode of the first definition and that of the second definition. In the treatment of the parts of the definition each of these parts will be considered consecutively in detail. Special emphasis will be placed on the interpretation of the words vivimus, sentimus, and intelligimus taken together, because a proper understanding

of the definition depends on a correct interpretation of the conjunction of these words. A detailed consideration of the word movemur will also be made since, as we have noted, St. Thomas' commentary was based on a translation of the definition in which this word appeared. Finally, the definition will be considered as a whole.

In Chapter VI entitled "The Demonstration," an analysis of the demonstration of the first definition of the soul by the second will be made. The first thing to fall under consideration will be the mode of procedure which Aristotle proposed to use. It must be established that this proposed mode of procedure was actually followed by him. If he proposed an a posteriori mode of procedure and then demonstrated the first definition a priori, we could question the interpretation of the mode of procedure proposed or the interpretation of the mode of the demonstration given or the unity of Aristotle's treatment itself. Finally, for a complete grasp of the second definition a consideration of the demonstration itself must be made. An analysis of the shortcomings of St. Albert's interpretation of the demonstration as a priori as well as a critical analysis of the interpretation of St. Thomas will be made. We hope that this analysis will provide an approach to a fuller understanding of the definition.

Chapter V

THE DEFINITION

#### 1 - The Mode of Definition

In St. Thomas' commentary on the <u>De Anima</u>, he delineates the mode of definition appropriate to the soul. He points out that a substance and an accident are defined in two different ways according to the teaching of Aristotle. In the definition of a substance nothing is placed in the definition which is extrinsic to that which is being defined. In the definition of an accident, on the other hand, something is placed in the definition which is extrinsic, i.e. the subject of the accident. This difference arises due to the fact that a definition states what a thing is. Since a substance is complete in its being (<u>esse</u>) and in its species, it is not dependent

<sup>1</sup>St. Thomas, In II De Anima, lect. 1, n. 213.

<sup>&</sup>lt;sup>2</sup>Aristotle, Metaph., VII, c. 5, 1030 b 14.

on anything else in its definition. However, since an accident is not a complete being in itself but depends on its subject, a substance, it must be defined with its subject in the definition, e.g. a virtue is a quality of the soul. The same is true of the definition of a form. A form does not constitute a complete species in itself since matter belongs to the understanding (ratio) of form. Although matter is not part of form, it is necessary to place it in the definition of form because form cannot be understood except in relation to matter. Thus, just as accidents are defined by addition of their subjects to the definition, so also forms are defined by addition of their proper matter to the definition. This kind of definition is commenly referred to as an exadditione definition.

Since the soul is a form, this second mode of definition is appropriate to it. However, in the case of the soul this mode of defining can be further subdivided insofar as the soul is defined either as a form or as a principle. Insofar as the soul is a form, it must be defined according to the mode appropriate to the definition of a form. Insofar as it is a principle, it must be defined in relation to that of which it is most properly the principle.

The mode of procedure appropriate to the definition of a form is a definition <u>ex additione</u> in which the proper matter is stated <u>in obliquo</u> as the difference. This is the mode of definition which Aristotle used in the first definition of the soul.<sup>2</sup>

The mode appropriate to the definition of a principle is an <u>ex additione</u> definition in which the word <u>principle</u>, or its equivalent is given <u>in recto</u> as intrinsic to the essence, and what is principlated is stated <u>in obliquo</u> as extrinsic to

Aristotle, <u>Metaph.</u>, VII, c. 5, 1030 b 14 - 1030 a 15; cf. St. Thomas, In VII <u>Metaph.</u>, lect. 9, n. 1477; <u>ibid.</u>, lect. 4, nn 1342-1355.

<sup>&</sup>lt;sup>2</sup>St. Thomas, In II <u>De Anima</u>, lect. 1, n. 213.

the essence. This is the mode of definition which Aristotle used in the second definition of the soul, as well as in the definition of nature.

In recto the second definition of the soul states that the soul is hoc quo, i.e. a principle. It does not state what kind of principle it is beyond the indication that it is a quo principle. It states in obliquo that which it is most properly and proximately the principle of, i.e. vegetative, sensitive, and intellective life, where life is understood in the light of Aristotle's statement that "vivere autem viventibus esse est. "3 Since the essence of the soul is not its potency and life is the potential principle of vital operations, 5 life does not belong to the essence of the soul. However, since esse is not an accident, 6 life belongs to the integrity of the soul insofar as it is a potestative whole. 7 Thus, the soul is said to be vegetative, as it is the principle of vegetative life; sensitive, as it is the principle of sensitive life; and intellective, as it is the principle of intellective life. Insofar as the soul is the principle of all of these substantially different genera of life, it is a potestative whole.

Our contention that life does not belong to the essence of the soul but must be stated ex additione can be seen in the light of the distinction of potency and act. That which is act, such as the soul, is not in potency to operation insofar as it is act, but it is in potency only insofar as something is added to it. Thus the act of the body is not in potency to

Aristotle, <u>De Anima</u>, II, c. 2, 414 a 12.

<sup>&</sup>lt;sup>2</sup>Aristotle, <u>Phys.</u>, II, c. 1, 192 b 22.

Aristotle, De Anima, II, c. 4, 415 b 13.

 $<sup>^4</sup>$ St. Thomas, Ia, q. 77, a. 1.

<sup>&</sup>lt;sup>5</sup>Ibid., q. 51, a. 3.

<sup>&</sup>lt;sup>6</sup>St. Thomas, I <u>Sent.</u>, d. 3, q. 4, a. 2, ad 2.

Ibid., c.

 $<sup>^8</sup>$ St. Thomas, Ia q. 77, a. 1.

operation precisely insofar as it is act but in virtue of life. "Unde nihil potest habere opus vitae, quod non habet vitam quae est potentiale principium talis actionis." St. Thomas expresses the same idea in the <u>De Anima</u>. "Ultimo autem epilogando concludit, quod anima est actus quidam et ratio habentis esse huiusmodi, scilicet potentia viventis." 2

It can be seen that this is a <u>ex additione</u> definition of the soul, as it is a potestative whole, if we keep two things in mind: first, that this is a definition of the soul as a principle, as we have seen above; and second, that the soul is a potestative whole insofar as it is the principle of life, as we have seen in St. Albert.

Ex his potentiis sive potestatibus iungitur sive componitur substantia animae secundum id, quod anima est, quia sic est principium vitae et motus. Principium autem vitae et motus totum potestativum nominat, et non substantiam absolutam.

We must consider the nature of a potestative whole in order to see what it means for the soul to be a potestative whole. It is necessary to realize that there are three kinds of wholes: universal, integral, and potential or potestative. Each of these wholes is composed of parts which are appropriate to the whole. Integral parts enter into the constitution of an integral whole, as the foundation, walls, and roof enter into the constitution of a house. However, house cannot be predicated of the foundation, for house is not present to the foundation according to the totality of its essence nor according to the totality of its power. A universal whole is able to be predicated of its parts, as animal can be predicated of man, because the universal whole is present to its parts both according to the totality of its essence and the totality

 $<sup>^{1}</sup>$ St. Thomas, Ia, q. 51, a. 3.

<sup>2</sup>St. Thomas, In II De Anima, lect. 4, n. 278.

<sup>3</sup>St. Albert, In <u>De Divisione</u>, tract. 4, c. 2, p. 79; cf. St. Thomas, Ia, q. 76, a. 8.

of its power. A potential part, on the other hand, does not enter into the essential constitution of that which is a potential whole, nor is a potential whole properly predicated of its parts according to essential predication as is a universal whole but "quodamodo potest praedicari de qualibet proprie sicut totum universale." A potential part can participate in the potency of the whole in such a way that the potency of the whole is found completely in one of the parts and in a progressively diminished way in the other parts. According to its complete ratio, then, a potestative whole is in one of the parts and, according to a certain participation, in the others. Applying this to soul, we see that the rational soul is that in which the complete potency and ratio of soul is found, so that the rational soul is called "tota anima." The sensitive soul in brutes and the vegetative soul in plants have the potency of soul only in a diminished way and have the ratio of soul by a certain participation. These souls are said to be parts of soul in such a way that plants and brutes do not have "tota anima" but parts of soul. 2

As St. Thomas points out, the potential parts of the soul are the intellective, the sensitive, and the vegetative. Plato maintained that these were souls in such a way that man would have three forms or souls: the vegetative, the sensitive, and the intellective. However, Aristotle understood the unity of the ratio of soul to be the same as the unity of the ratio of figure. Just as there is no figure above and beyond triangle, square, or any of the other particular figures which would be common, so also there is no soul, having, as it were, separate

<sup>&</sup>lt;sup>1</sup>St. Thomas, Ia, q. 77, a. 1, ad 1.

<sup>&</sup>lt;sup>2</sup>St. Thomas, In II <u>Sent.</u>, d. 9, q. 1, a. 3, ad 1; cf. In I <u>Sent.</u>, d. 3, q. 4, a. 2, c. and ad 1; In IV <u>Sent.</u>, d. 15, q. 2, a. 2; Suppl., q. 37, a. 1, ad 2.

<sup>5</sup>St. Thomas, In I De Anima, lect. 1, n. 14.

<sup>&</sup>lt;sup>4</sup>Plato, <u>Phaedrus</u>, <u>Great Books of the Western World</u>, ed. Hutchins, Chicago, <u>Encyclopedia Britannica</u>, Inc., 1952, vol. 7, n. 246, p. 124c; n. 253, p. 128a. Cf. <u>Timaeus</u>, n. 69, p. 466b.

existence, which would be over and above the vegetative, sensitive, and intellective parts of the soul. However, it is possible to have a common definition of figure which is ap--propriate to all but proper to none. In the same way it is possible to have a common definition of the soul which is appropriate to all the parts of the soul but proper to none. The definitions of the soul which Aristotle has given are of this kind, but he considers it ridiculous to give only these common definitions without giving the proper definition of each part of the soul. However, before he begins his consideration of the parts of the soul, he notes that they are related in a way similar to the way in which the different figures are related. A square contains a triangle in potency, for a square can be divided into two triangles. Thus, each subsequent figure is said to contain the previous figure in potency, e.g. a six-sided figure contains a five-sided figure in potency and the five-sided figure in turn contains a four-sided figure in potency and the four-sided figure contains a three-sided figure in potency. The parts of the soul are related in a similar way. An animal is able to nourish himself and grow so that the sensitive part of the soul is said to contain the vegetative part as an active potency, or power. Thus, each subsequent part of the soul is said to contain the previous part as one of its powers (quasi quaedam potentia eius), 1 i.e. the intellective part of the soul contains the sensitive part as one of its powers and the sensitive part contains the vegetative part as one of its powers.

It seems reasonable to say in conclusion that the mode of the second definition is not that which is appropriate to a substance but rather that which is appropriate to the soul as the principle of life. Since a principle must be defined in relation to that which is principlated and since that which is principlated is extrinsic to its essence, the kind of definition appropriate to the soul as a principle is an ex

<sup>1</sup>St. Thomas, In II De Anima, lect. 5, n. 298.

additione definition. Furthermore, since the soul is the principle of life and as the principle of life it is a potestative whole, the soul must be defined in terms of all of its parts in conjunction.

# 2 - The Parts of the Definition

Since we already have a basic understanding of the second definition of the soul from our considerations in the previous chapters, we will begin our own consideration of the definition with an analysis of it. In this analysis each word in the definition will be considered with a view to understanding the whole definition. However, since each word has its meaning as a part of the whole and not independently, we will attempt to reveal the meaning of each word in its proper context, showing the foundation for the interpretation of it and what is entailed by this interpretation.

## a) Quo

The first word of the definition is the word  $\underline{quo}$ . There is general agreement and it seems evident that the word  $\underline{quo}$  designates the soul as a principle. Furthermore, Aristotle is clearly stating that the soul is not a  $\underline{quod}$  principle but a  $\underline{quo}$  ( $\underline{\tilde{\omega}}$ ) principle. However, there have been different interpretations put on the soul as a  $\underline{quo}$  principle. Since an interpretation of the word  $\underline{quo}$  can only be understood in the light of that which is principlated, a reasonable interpretation cannot be made until we have considered the other words of the definition. Therefore, our consideration will be limited to contrasting the different interpretations concerning the soul as a  $\underline{quo}$  principle and to seeing what is understood by

St. Thomas, Quaestiones <u>Disputatae</u> <u>De Veritate</u>, q. 10, 9th edition, ed. Spiazzi, Turin, Marietti, 1953, a. 1, ad 2.

Aristotle, <u>De Anima</u>, II, c. 2, 414 a 12.

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a quo principle.

Neither St. Thomas nor St. Albert directly comments on the word <u>quo</u>. St. Thomas combines the word <u>primo</u> with the word <u>quo</u> and speaks of the soul as the "primum principium" of the "operum vitae." St. Albert, on the other hand, speaks of the soul as a principle in the sense of the act or <u>ratio</u> of life, understanding by life not vital operations but the foundation for vital operations.<sup>2</sup>

Both John of St. Thomas and Cajetan give a direct commentary on the word <u>quo</u>. John of St. Thomas is very clear in the statement of his position:

Et illa particula 'quo' denotat formam seu principium intrinsecum; extrinseca enim principia non sunt id, quo aliquid constituitur in esse, sicut causa efficiens et finalis non est id, quo aliquid constituitur, sed a quo movetur vel cuius gratia fit.

He is also clear in his statement of the position of St. Thomas. He understands St. Thomas as interpreting the soul as an efficient cause of vital operations. However, John of St. Thomas also thinks St. Thomas interpreted the soul as the principle of vital operations not in the sense of effects but as the very "munus seu officium animae ut est radix suarum operationum."

Cajetan gives a very succinct, if somewhat obscure, statement of his interpretation of the word quo. "Primum est circa ly quo quod est vocabulum accommodum valde ad denominandum principium ut rationem essendi vel operandi." However,

<sup>1</sup>St. Thomas, In II De Anima, lect. 3, n. 253.

<sup>&</sup>lt;sup>2</sup>St. Albert, Liber II <u>De Anima</u>, tract. I, c. 8, p. 207, col. b.

<sup>3</sup>John of St. Thomas, Cursus, p. 21, col. b.

<sup>&</sup>lt;sup>4</sup><u>Ibid.</u>, p. 23, col. a.

<sup>&</sup>lt;sup>5</sup><u>Ibid.</u>, p. 25, col. a.

Cajetan, In De Anima, II, c. 2, n. 99.

this interpretation is made clear when Cajetan, in agreement with St. Albert, refers to the soul as the "ratio nostrae vitae."

A <u>quo</u> principle can be understood in two frames of reference. The most basic distinction is that between a <u>quo</u> principle and a <u>quod</u> principle. According to this distinction a <u>quo</u> principle is not a complete substance. It is only a coprinciple and demands another principle, in conjunction with which it brings about an effect. An example of this would be courage, which comes from both virtue and the soul so that courage is a certain habit of the soul. The virtue or quality is the formal <u>quo</u> principle and the soul is the material <u>quo</u> principle, both of which are necessary in order to have the virtue of courage. A <u>quod</u> principle, on the other hand, is a complete substance and can bring about its effect without the cooperation of any other principle, e.g. we see.

Quo principles can also be distinguished among themselves according to the different species of causality. A quo principle may be either an efficient cause, a formal cause, or a material cause. As an extrinsic, i.e. efficient, cause, a quo principle is referred to as an instrumental efficient cause. It is that person or thing which is extrinsic to the principal efficient cause but by means of which the principal efficient cause brings about an effect. The power of sight is a quo principle of seeing. It is we, complete substances, who as quod principles see by means of the quo principle, the power of sight which is extrinsic to our essence. As a formal cause a quo principle is a co-principle with the material cause in the constitution of a material substance and a cooperative cause of the effects of existence and operation as they flow from the substantial nature or, more properly, the supposit. This entails the fact that the quo principle as a material cause is a co-principle with the formal cause. It is essential to realize that cooperative causes cannot bring about their effects

<sup>&</sup>lt;sup>1</sup>Cajetan, <u>In De Anima</u>, II, c. 2, n. 115.

independently of one another. Neither matter nor form as a quo principle has independent existence or operation prior to their union. This is why we say that existence belongs to the supposit.

As a final note it should be pointed out that in St. Thomas' interpretation of the soul as the cause of the vital operations, he is not considering the soul in the order of extrinsic efficient causality but rather as the intrinsic principle of the motion of the body, as the form of that body.

Ostendit quod anima est principium moventis corporis, sicut unde motus: et utitur quasi tali ratione. Omnis forma corporalis naturalis est principium motus proprii illius corporis, 2 sicut forma ignis est principium motus eius.

### b) Vivimus

With respect to the word <u>vivimus</u>, we must first try to determine whether Aristotle intended to signify by this word all living things or only vegetative life. Next, it will be necessary to discover that from which the word is taken and that to which the word can be applied. Finally, we must see what is entailed by the different applications of this word.

The extension of the word <u>vivimus</u> in the context of this definition can be gained from Aristotle himself for he began his consideration of this definition with an analysis of the word <u>vivere</u>. A thing is said to be alive if it has any of the powers of intellect, sense, locomotion, or only the vegetative powers. It is, however, to these vegetative powers that Aristotle seems particularly to reserve the word <u>vivere</u>, for when speaking of animals, he says: "Et namque quae non moventur, neque mutant locum, habent autem sensum, animalia

 $<sup>\</sup>frac{1}{2}$ St. Thomas, I <u>Sent.</u>, d. 8, q. 5, a. 2, c.

<sup>2</sup>St. Thomas, In II <u>De Anima</u>, lect. 7, n. 323; cf. <u>De Ver.</u>, q. 22, a. 3; I <u>Sent.</u>, d. 8, q. 5, a. 3, ad 3; <u>De Veritate</u> <u>Catholicae Fidei Contra Gentiles</u>, II, c. 57, <u>Opera Omnia</u>, ed. Parma, Typis Petri Fiaccadori, 1855, vol. V.

Aristotle, <u>De Anima</u>, II, c. 2, 413 a 23.

dicimus, et non vivere solum." Perhaps this is because, as St. Thomas points out, Aristotle maintained when speaking of the vegetative principle, "Vivere igitur, propter hoc principium inest omnibus viventibus." From Aristotle's own usage of the word, we can interpret his meaning of vivimus in the definition as limited to vegetative life. This interpretation is reinforced if we make a certain parallel between the definition and the passage:

Nunc autem intantum dictum sit solum, quod est anima horum quae dicta sunt, principium; et his determinata est, <u>vegetativo</u>, sensitivo, intellectivo et motu.

If we take, as St. Albert did, the word <u>vivimus</u> for all the grades of living things, we will end up with a very strange combination of words. Vivere, taken in this way, will signify analogically all the grades of living things and the other words will signify univocally two of those same grades of living things. The vegetative would not be explicitly mentioned. This interpretation seems to have very little foundation in Aristotle.

We can discover that from which the word <u>vivimus</u> was taken and that to which it can be applied if we consider the way in which we name things. Our process of naming follows our knowing process. By our senses we know the external accidents of sensible things and by our intellect we know the essence of sensible things. However, it is through our senses that our intellect gains knowledge. Thus, it is from external appearances that we get the knowledge of the essences of things. Since we name things as we know them, we often use words to signify the essence which were taken from external properties,

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Aristotle, <u>De Anima</u>, II, c. 2, 413 b 2.

<sup>&</sup>lt;u>Ibid.</u>, 413 b 1.

Ibid., 413 b 11 (italics mine).

St. Albert, Liber II De Anima, tract. I, c. 7, p. 207, col. b.

e.g. rational in the definition of man. Sometimes these words are used in a strict sense to signify the essence and sometimes less properly to signify the property from which the word was taken.

The word life was taken from an external accident, that is local self-movement. However, the word is most properly used to signify the substance which moves itself or performs self-initiated operations. Thus, the expression to live is synonymous with the expression to be in the case of things which move themselves. Just as the word running expresses the same thing as the words to run, but in the abstract; so too the word life expresses the same thing as the words to live, but in the abstract. Thus, life is not an accidental predicate but a substantial one, most properly speaking. However, the word life is sometimes used to signify that from which it was taken, the operations of life, but this is a less proper use of the word.

Thus, we see that the word <u>vivimus</u> was taken from the operations of life. However, that from which a word is taken is not necessarily that to which it is applied. Thus, the word <u>vivimus</u> could be used in either of two ways: less properly it could be used to signify the operations of life, more properly it could be used to signify the "esse viventis."

What is entailed by the word <u>vivimus</u> depends on what the word is used to signify. If it is used to signify the "esse viventis," it entails the notion of the soul as the form of the body which immediately makes each part alive. If, on the other hand, the word <u>vivimus</u> is used less properly for the operations of life, it entails a notion of the soul as the <u>motor</u> which first makes the heart live and through it the

 $<sup>\</sup>frac{1}{2}$ St. Thomas, Ia, q. 18, a. 2, c.

<sup>&</sup>lt;sup>2</sup>St. Thomas, I <u>Sent.</u>, d. 8, q. 5, a. 3, ad 3; cf. Aristotle, <u>De Anima</u>, II, c. 4, 415 b 13; St. Thomas, IIaIIae, q. 179, a. 1, ad 1.

rest of the body.

Ad tertium dicendum, quod vivere in animali dicitur dupliciter: uno modo vivere est ipsum esse viventis, sicut Philosophus: Vivere viventibus est esse; et hoc modo anima immediate facit vivere quamlibet partem corporis, inquantum est eius forma; alio modo dicitur vivere pro operatione animae quam facit in corde prout est motor; et talem vitam influit primo in cor, et postea in omnes alias partes.

The importance of this distinction can be seen if we recall the observation which Aristotle made after his consideration of the first definition of the soul. "Amplius autem immanifestum si sit corporis actus anima, sicut nauta navis." Plato maintained that the soul is united to the body as its mover but not as its form. If the second definition establishes the soul only as the principle of vital operations, "ut motor," we do not yet have the soul as the form of the body, for it is possible to have two things united in a contact of power without being substantially one and, thus, be one in vital operation but not one in being.

There are many objections which can be brought up to refute Plato's position, for example the following argument. What is one only by a contact of power is not substantially one but only accidentally one. An example to the contrary, however, is the fact that from the union of body and soul there results one substance, e.g. a man. Plato's response to this criticism is that man is not a being composed of body and soul but that the soul itself using a body is man. This can be easily refuted if we recognize that man and animals are sensible and natural realities; this would not be the case if the body and its parts did not belong to the essence of man and animal, since the soul itself is not a sensible nor material thing.

 $<sup>\</sup>frac{1}{2}$ St. Thomas, I <u>Sent</u>., d. 8, q. 5, a. 3, ad 3.

Aristotle, <u>De Anima</u>, II, c. 1, 413 a 9.

<sup>3</sup>St. Thomas, Cont. Gent., II, c. 57.

Another argument in refutation of Plato's position that the soul is only the mover of the body follows from the fact that a mobile being does not derive its species from its mover. If this were the relation of body and soul, the body and its parts would not owe what they specifically are to the soul. In this case, after death the body and its parts would remain specifically the same. However, this is not the case, for, as an example, the hand of a dead man is only equivocally a hand because it no longer has its proper operation.

From the point of view of the interpretation of the word vivinus, the most important argument which St. Thomas gives in refutation of Plato is based on the distinction between the soul as the principle of the movement of the body and the soul as the principle of the esse of the body. The mobile does not owe its esse to its mover but only its movement. If the soul were united to the body merely as its mover, the body would indeed be moved by the soul, but it would not owe its esse to the soul. However, to live is the esse viventis. On Plato's assumption the body would not live and be in virtue of the soul.

Even more significant for the interpretation of the word <u>vivimus</u> are the two arguments which St. Thomas uses <u>to prove</u> that the soul is united to the body as its proper form. The first argument is based on an interpretation of the word <u>vivere</u> as the <u>esse viventis</u>. The second argument is a paraphrase of what St. Thomas considered to be Aristotle's proof of the first definition of the soul by the second. St. Thomas treats these as two distinct proofs of the same conclusion.

The first argument assumes the distinction of potency and act. It begins by pointing out that that by which something becomes a being in act from a being in potency is its form and act. However, it is through the soul that the body becomes a

<sup>1</sup>St. Thomas, Cont. Gent., II, c. 57.

being in act from being potentially existent, for <u>vivere</u> is the <u>esse viventis</u>. This can be seen in the case of seed which before animation is living only in potency but in virtue of the soul becomes actually alive. Therefore, the soul is the form of the animated body.

The second argument which St. Thomas gives draws a parallel between to be and to operate. He points out that neither to be nor to operate are attributed only to the form or only to the matter but rather to the composite, so that to be and to operate are attributed to two things, one of which is related to the other as form to matter; as, for example, we say that man is healthy in virtue of the body and health, or that man is a knowing being in virtue of knowledge and the soul. Now, knowledge is the form of the soul of a knowing being and health is the form of a healthy body. Moreover, to live and to sense are attributed to the soul and to the body. We are said to live and to sense in virtue of the soul and in virtue of the body, but we are said to live in virtue of the soul as the principle of life and sensation. Therefore, the soul is the form of the body.

Since St. Thomas attributes this second demonstration to Aristotle, there are two differences which must be noted between this demonstration and that of Aristotle. In his demonstration Aristotle simply says that that by which we live and sense is said in a twofold way. St. Thomas interprets this to mean we are said to be something or to operate by two principles. Also, Aristotle's consideration of the relation of matter and form according to potency and act is missing in this second demonstration of St. Thomas.

What these two demonstrations bring out is the fact that it is necessary to proceed in two different ways to demonstrate that the soul is form on the basis of these two interpretations of <u>vivere</u>. If <u>vivere</u> is interpreted as signifying the <u>esse</u>

<sup>1</sup>St. Thomas, Cont.Gent., II, c. 57.

viventis, then the demonstration proceeds on the basis of matter and form being related as potency and act, so that that by which something becomes a being in act from a being in potency is its form. The soul is that by which the body becomes a being in act, for the soul is that by which we live and vivere is the esse viventis. Therefore, the soul is the form of the animated body. If vivere is interpreted as signifying the operations of life, then the argument must proceed on the basis of the fact that to be and to operate both proceed from a composite of matter and form. To live is attributed to both body and soul, but we are said to live in virtue of the soul as the principle of life. Therefore, the soul is the form of the body.

These demonstrations reveal what is entailed by these two interpretations of the word <u>vivimus</u>, but which of these is the proper interpretation depends on the signification of the words <u>vivimus</u>, <u>sentimus</u> and <u>intelligimus</u> taken in conjunction as well as an understanding of Aristotle's demonstration of the soul as form. Therefore, the final interpretation of this word will be put off until after these considerations have been made.

## c) Sentimus

We have only one difficulty to consider in our treatment of the word sentimus. This difficulty arises as a result of the fact that St. Thomas has two interpretations of this word. In his commentary on the <u>De Anima</u> he seems to interpret the word as signifying vital operation, whereas in the <u>Summa Theologiae</u> he maintains that the word can be taken "pro ipso esse sic operantium." The problem of interpretation is further complicated by the fact that St. Albert in his commentary on the <u>De Anima</u> took the word for the <u>esse</u> of sentient beings, and by the fact that Cajetan and John of St. Thomas maintained that the word could be taken to signify either vital operation or the <u>esse</u> of sentient beings.

It is evident that the word sentimus was taken from the

 $<sup>^{1}</sup>$ St. Thomas, Ia, q. 18, a. 2, ad 1.

sensory operations of sentient beings and it seems to be in this sense that St. Thomas understands the word in the second definition of the soul, for he speaks of the soul as the first principle of vital operations (operum vitae). In fact, St. Thomas seems to rule out the interpretation of the words sentimus and intelligimus as signifying the esse viventis in a passage in the De Veritate. He begins his consideration by pointing out that the word vivere can be used in two ways. The first way is as it signifies the esse viventis. This signification follows from the fact that the soul is united to the body as its form. The other way is as the word vivere signifies the operations of life. In the mind of St. Thomas, it is according to this second mode of signification that Aristotle distinguishes vivere into intelligere and sentire and the other operations of the soul in the De Anima.

However, just as with the word <u>vivere</u>, the word <u>sentire</u> was used by Aristotle to signify not only the sensory operations but also the <u>esse</u> of sentient beings. "Hoc autem, quoniam sentimus vel intelligimus, quoniam sumus. Esse enim erat sentire vel intelligere."

St. Thomas in his commentary on this passage indicates the possibility of using the words <u>sentire</u> and <u>intelligere</u> to refer to the <u>esse</u>. "Dictum est enim supra quod esse et vivere hominis principaliter est sentire vel intelligere." Furthermore, St. Thomas in the <u>Summa Theologiae</u> gives another interpretation of Aristotle's use of these words in the <u>De Anima</u>. This interpretation is found as the reply to an objection which St. Thomas gives

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St. Thomas, In II De Anima, lect. 3, n. 253.

<sup>2</sup>St. Thomas, <u>De Ver.</u>, q. 13, a. 4, ad 2; also <u>In Aristotel-is Libros De Caelo et Mundo Expositio</u>, II, lect. 4, ed. Spiazzi, Turin, Marietti, 1952, n. 5.

<sup>&</sup>lt;sup>3</sup>Aristotle, <u>De Anima</u>, II, c. 2, 413 a 22.

Aristotle, <u>Nicomachean Ethics</u>, IX, c. 9, 1170 a 35, as cited in St. Thomas, <u>In Decem Libros Ethicorum Aristotelis ad Nicomachum Expositio</u>, ed. Spiazzi, Turin, Marietti, 1949.

St. Thomas, In IX Eth. ad Nic., lect. 11, n. 1908.

 $<sup>^6</sup>$ St. Thomas, Ia,q. 18, a. 2, ad 1.

when he is considering whether life is an operation. He poses an objection which flows from the principle that nothing is divided except by what is in the same genus. Since Aristotle in the <u>De Anima</u> divided <u>vivere</u> into four operations, i.e. to nourish, to sense, to move locally and to think, life is some kind of operation. In his answer to this objection, St. Thomas gives what he considers a better interpretation of <u>sentire</u> and <u>intelligere</u> and one which is consonant with the teaching of Aristotle.

Ad primum ergo dicendum quod Philosophus ibi accipit vivere pro operatione vitae. Vel dicendum est melius, quod sentire et intelligere, et huiusmodi, quandoque sumitur pro quibusdam operationibus; quandoque autem pro ipso esse sic operantium. Dicitur enim IX Ethic., quod esse sentire vel intelligere, idest habere naturam ad sentiendum vel intelligendum. Et hoc modo distinguit Philosophus vivere per illa quatuor. Nam in istis inferioribus quatuor sunt genera viventium.

St. Albert interpreted the word <u>vivere</u> as the essential act of the soul which is the foundation of every operation,
". . . et ideo viventibus est esse." Furthermore, in his demonstration of the soul as form he maintained that the words <u>sentimus</u> and <u>intelligimus</u> are used in a way similar to that of <u>vivimus</u>. He showed this by pointing out that when we say that we live by the soul, the soul is the act and form and <u>ratio</u> of the life of the living body. In like manner when we say that we sense by the soul, the soul is the <u>ratio</u> of the life of the living body. Thus, we could conclude that if <u>vivere</u> is the <u>esse</u> of living beings; in like manner <u>sentire</u> is the <u>esse</u> of sentient beings.

<sup>1</sup>St. Thomas, Ia, q. 18, a. 2, ad 1.

<sup>&</sup>lt;sup>2</sup>Aristotle, <u>De Anima</u>, II, c. 2, 413 a 22.

<sup>3</sup>St. Albert, Liber II De Anima, tract. I, c. 6, p. 203, col. a.

<sup>&</sup>lt;sup>4</sup><u>Ibid.</u>, p. 207, col. b.

Cajetan held two interpretations of the word <u>sentimus</u>. He maintained that the word <u>vivimus</u> could be taken for the "esse vitali" or "pro operatione vitali." Likewise, the words <u>sentimus</u>, <u>movemur</u>, and <u>intelligimus</u> could be taken in the same way "pro esse sensitivo, pro esse motivo, pro esse intellectivo, sicut vivere pro esse vitali," or for vital operations. He considers the former interpretation the more profound but the latter the better known. However, according to Cajetan, it makes no difference to the demonstration of the soul as form which interpretation is adopted.

John of St. Thomas also gave two interpretations of this word. He maintained that the words <u>vivere</u> and <u>sentire</u> could be taken to signify vital operations or the "esse... sentiendi." He even attributed both of these interpretations to St. Thomas' commentary on the <u>De Anima</u>.

From this brief consideration of the opinions of the commentators we can at least say that it would be possible for us to interpret the word <u>sentimus</u> as signifying the <u>esse</u> of sentient beings.

### d) <u>Intelligimus</u>

Since the word <u>intelligimus</u> is subject to the same analysis as the words <u>vivimus</u> and <u>sentimus</u>, we will limit ourselves to a consideration of a point which has been left obscure. It is not immediately evident what is meant by the words <u>esse viventis</u>. Therefore, we will consider a statement of St. Thomas concerning the meaning of the word <u>intelligere</u> in order to approach this problem.

Ad decimumquartum dicendum quod anima, in quantum est forma corporis secundum suam essentiam, dat esse corpori, in quantum est forma substantialis;

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<sup>&</sup>lt;sup>1</sup>Cajetan, <u>In De Anima</u>, II, c. 2, n. 101.

<sup>2</sup> Ibid.

<sup>&</sup>lt;sup>3</sup>John of St. Thomas, <u>Cursus</u>, p. 26, col. a.

<sup>&</sup>lt;sup>4</sup><u>Ibid.</u>, col. b.

et dat sibi huiusmodi esse quod est vivere, in quantum est talis forma, scilicet anima; et dat ei huiusmodi vivere, scilicet intellectuali natura, in quantum est talis anima, scilicet intellectiva. Intelligere autem quandoque sumitur pro operatione, et sic principium eius est potentia vel habitus; quandoque vero pro ipso esse intellectualis naturae, et sic principium eius quod est intelligere, est ipsa essentia animae intellectivae.

In this passage St. Thomas first points out that the soul is the principle of the <u>esse</u> of the body precisely insofar as it is the substantial form of the body according to its very essence. He next points out that the soul as soul, that is insofar as it is this particular kind of form, is the principle of a particular kind of <u>esse</u>, i.e. <u>vivere</u>. Furthermore, he says that the soul insofar as it is this particular kind of soul, i.e. intellective, is the principle of that <u>vivere</u> which is in accordance with the intellectual nature.

St. Thomas' final consideration deals with the way in which the word <u>intelligere</u> can be taken. In one way the word can be taken for operation and if it is taken in this way, its principle is then a power or habit. However, St. Thomas maintains that the word <u>intelligere</u> can also be taken for the <u>esse</u> of the intellectual nature. If it is taken in this way, its principle is the very essence of the intellective soul.

St. Thomas' interpretation of the word <u>intelligere</u> as the <u>esse</u> of the intellectual nature throws light on the meaning of the word <u>esse</u>. He clearly makes a distinction between the intellectual nature and its <u>esse</u> when he says, "quandoque vero pro ipso esse intellectualis naturae." He even strengthens this statement by what follows: "et sic principium eius quod est intelligere, est ipsa essentia animae intellectivae." From this passage it is evident that St. Thomas understands <u>intelligere</u> as signifying the <u>esse</u> of the intellectual nature and not the

<sup>1</sup>St. Thomas, Quaestiones Disputatae De Spiritualibus Creaturis, q. 1, a. 11, eds. Calcaterra and Centi, Turin, Marietti, 1953; cf. St. Thomas, In I Sent., d. 33, q. 1, a. 1, ad 1.

intellectual nature itself. Thus we see that St. Thomas is not identifying <u>esse</u> and intellectual nature but distinguishing them, and that he understands the <u>esse</u> as caused by the essence of the intellective soul. This conclusion, but in a more general form, was expressed by St. Thomas in another context.

Sed sciendum, quod esse dicitur dupliciter. Uno modo dicitur esse ipsa quiditas vel natura rei. Alio modo dicitur esse ipsa actus essentiae; sicut vivere, quod est esse viventibus, est animae actus, non actus secundus qui est operatio, sed actus primus.

#### e) Primo

There are two possible interpretations of the word <u>primo</u>. It could be interpreted as modifying the verb of the main clause, which is not stated in the Greek, or as modifying the verbs of the definition. In the former interpretation the soul would be a first principle, as in the interpretation of St. Thomas or the English translation of Sir David Ross: "Soul is that by which, primarily, we live, perceive, and think." If <u>primo</u> is interpreted as modifying the verbs in the definition, we would have the soul defined as that by which we live, perceive, and think primarily. In this interpretation the soul is defined as the principle of life, understanding life not as the secondary effect, operation, but as the primary effect, <u>esse viventis</u>.

The interpretation of the word <u>primo</u> depends, then, on our interpretation of the words <u>vivimus</u>, <u>sentimus</u>, and <u>intelligimus</u>. If these words signify vital operations, there is no sense in which these verbs could be modified by the adverb <u>primo</u>, for operation is a secondary effect and <u>vivimus</u> <u>primo</u> could only refer to life as a primary effect.

In the interpetation of St. Thomas we saw that he took the

<sup>&</sup>lt;sup>1</sup>St. Thomas, In I <u>Sent.</u>, d. 33, q. 1, a. 1, ad 1; cf. St. Thomas, IaIIae, q. 111, a. 2, c; Ia q. 76, a. 6.

<sup>&</sup>lt;sup>2</sup>Ross, <u>Aristotle: De Anima</u>, 414 a 12, p. 216.

St. Thomas, Ia, q. 42, a. 1, ad 1.

words vivimus, sentimus, movemur and intelligimus for vital operations. Therefore, although Aristotle had placed the word primo, or rather its Greek equivalent, last, St. Thomas placed it just before the word ouo. In the mind of St. Thomas, then, the word primo was used by Aristotle to indicate that the soul is the "primum principium" of the vital operations. This is a possible interpretation, for the soul is actually the first principle of vital operations. John of St. Thomas expresses this same idea very clearly.

Dicitur 'primo' ad denotandum, quod anima debet esse principium substantiale et radicale, potentiae autem operativae non sunt id, quo primo operamur aut vivimus, sed instrumenta operandi et vivendi.

This interpretation, however, entails several difficulties for St. Thomas. The first is simply the problem of moving the word primo. The second is the addition of this word to the examples which Aristotle borrowed from knowledge and health, for Aristotle did not use this word in these examples. The third is the problem of determining what the secondary principle of operation is if the soul is the primary principle. The fourth difficulty concerns the relation between St. Thomas' interpretation of the word primo as indicating that the soul is the first principle by which we live and his statement that the demonstration of which this second definition is the minor premise concludes to the fact that either the body or the soul is the species. In order to see the relation of these difficulties to St. Thomas' interpretation of the word primo we will consider each difficulty separately in the following paragraphs.

With regard to the first difficulty, it should be noted that the position of the word <u>primo</u> in the definition does not determine its interpretation. The fact remains, however, that St.

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<sup>&</sup>lt;sup>l</sup>St. Thomas, In II <u>De Anima</u>, lect. 3, n. 253.

Ibid.

<sup>3</sup>John of St. Thomas, <u>Cursus</u>, p. 21, col. b.

<sup>&</sup>lt;sup>4</sup>"Et ideo cum consequatur ex praedicta demonstratione, quod vel corpus vel anima sit species. . . "St. Thomas, In II <u>De Anima</u>, lect. 4, n. 275.

Thomas felt constrained to change its position in the definition in order to bring out his interpretation.

St. Thomas' addition of the word primo to the examples of Aristotle seems to have no other value than to make the correspondence between the examples and the definition of the soul as interpreted by St. Thomas more perfect. But the examples are perfectly clear without the word <u>primo</u>. These are examples of matter and form related as potency and act. The word <u>primo</u> in such a context is superfluous, and, therefore, Aristotle left it out.

The interpretation of the soul as the primary principle of operation immediately brings up the problem of what the secondary principle of operation is. The secondary principle of operation is said to be the appropriate power, e.g. the power of sight is the secondary, or proximate, principle of seeing. However, in the context of a demonstration of the soul as the form of the body such a consideration is irrelevant. What is actually being considered is the relation of body and soul as potency and act, not the relation of soul and power as primary and secondary principles of operation.

If, as St. Thomas does, it is maintained that the demonstration of the first definition concludes to the fact that either the body or the soul is form, then it must be maintained that both the body and the soul are primary principles of vital operations. But since the body can only be the principle of vital operations in virtue of the soul, it cannot be designated a primary principle of operations. Therefore, from the demonstration as interpreted by St. Thomas it cannot be concluded that either the body or the soul is form, as St. Thomas did. However, if only the soul is the primary principle of vital operations, then we have our conclusion, i.e. soul is form, and we do not need the further consideration of body and soul as potency

St. Thomas, In II De Anima, lect. 4, n. 275.

 $<sup>^2</sup>$ St. Thomas, Ia, q. 75, a. 1.

and act which Aristotle thought necessary. 1

If the word <u>primo</u> is interpreted as modifying the verbs in the definition, we can leave the definition in the form in which Aristotle wrote it. Our translation would then read: the soul is that by which we live and perceive and think primarily. Also, with this interpretation of primo, there is no need to add it to the examples which Aristotle gave of knowledge and health. Aristotle did not use it in these examples because there is no great problem involved in being, for example, healthy in first act and healthy in second act. However, there is good reason to add it to the definition of the soul for there is a difficulty with regard to the soul as the principle by which we live. Since Plato would readily agree that the soul is the principle of vital operations but he would not agree that together with the body the soul is the principle of the esse of living beings, Aristotle deliberately indicated by the word primo that the words vivimus, sentimus, and intelligimus were to be interpreted as signifying the esse viventis. This interpretation of primo further allows us to retain the parallel between the examples as Aristotle gave them and the treatment of the soul, whereas the other interpretation does not. In the examples it is not a question of health being primarily that by which we are made healthy and the body being secondarily that by which we are made healthy, but rather health is related to the body as the act of that which is in potency, and, therefore, health is the form. In the case of the soul, we live by the body and the soul, but the soul is related to the body as the act of that which is in potency, and, therefore, the soul is form. If, on the other hand, we state in the definition that the soul is the first principle of our life, we have no need for the distinction of potency and act, for whatever is the first principle is form. 2

Aristotle, <u>De Anima</u>, II, c. 2, 414 a 15.

This point was seen in our consideration of the word vivinus where we treated St. Thomas' demonstration in the Contra Gentiles of the soul as form. Cont. Gent., II, c. 57, p. 110.

If Aristotle had intended to define the soul in such a way as to indicate that it is the principle of the primary effect of animation, i.e. the esse, as opposed to the secondary effect, i.e. operation, it would be reasonable to interpret the word primo as modifying the verbs in the definition. The words vivimus et sentimus et intelligimus primo would then refer to the esse of vegetative beings, the esse of sentient beings and the esse of rational beings.

# f) <u>Et</u> . . . <u>et</u>

In order to interpret the words <u>et</u> . . . <u>et</u>, we must recall what was established in the section on the mode of the definition, for the mode of this definition determines the use of these words. We must also consider the objection to the interpretation of these words as conjunctive.

An interpretation of the words <u>et</u> . . . <u>et</u> depends on a grasp of that which determines the choice of these words. The words in a definition are chosen basically to express what is being defined according to the appropriate mode, and it is the matter which determines this mode. In this case the matter is the soul as the principle of life and the mode appropriate to this matter is determined by the unity of soul as a potestative whole.

In our consideration of the mode of this definition, we saw that this is a definition of the soul as it is a principle, more precisely as it is the principle of life. To define the soul as the principle of life it is necessary to define it according to the mode appropriate to a potestative whole. Now, the definition of a potestative whole is not the same as the definition of a universal whole. The ratio of a universal whole does not contain its inferiors in act but only in potency, e.g. animal does not contain man and brute in act but only in potency. The fact that the parts are not being considered in act is the reason why the universal whole is predicated equally of its parts, even though its parts are not equal in act. They have been made equal according to a common ratio. The ratio of a

potestative whole, on the other hand, contains its parts in act. This is due to the fact that the parts constitute the very substance of a potestative whole precisely as a potestative whole. The ratio of soul, then, has the same unity as the ratio of figure, that of a potestative whole, where there is not a simple essential unity but a unity which results from a composition of unequal parts. In such a whole one part includes the power of the inferior part and more besides until the total extension of the power of the whole is contained in the last part. In the case of the soul, the sensitive part contains the power of the vegetative part and more besides. The intellective part contains the power of the sensitive part and more besides, and since it is the last part, it has the total extension of the power of the soul. The definition of the soul as a potestative whole must, therefore, contain all of these parts and it must indicate the unity of the whole composed of these parts.

Aristotle brings up the question of the proper mode of defining the soul in his introduction to the <u>De Anima</u>. He indicates there the difficulties involved in a knowledge of the soul. The first difficulty which he points out is the problem concerning the mode of defining the soul, for it is a common difficulty to discover what procedure to use in knowing what a thing is. He mentions the procedures of demonstration, division, or some other method --- "aut etiam aliqua alia methodus." St. Thomas in his commentary on this passage reveals the other method which Aristotle referred to. St. Thomas points out that certain people favor the method of demonstration; others, the method of division; while yet others favor the method of composition. It is this latter method which St. Thomas thinks Aristotle used in his definition of the soul: "Aristoteles autem voluit quod componendo."

St. Albert, In De Divisione, tract. IV, c. 2, p. 79, col. b.

<sup>&</sup>lt;sup>2</sup>Aristotle, <u>De Anima</u>, I, c. 1, 402 a 11 - 402 a 25.

<sup>3</sup>St. Thomas, In I <u>De Anima</u>, lect. 1, n. 9.

To see the meaning of this mode of defining, we must consider what is meant by this "via compositionis." There are two ways of proceeding to a grasp of the truth. The first way, which is called the "via resolutionis," is a process by which we go from what is composed to its intrinsic causes or from a whole to its parts. The second way, which is called the "via compositionis," is a process by which we go from what is simple to what is composed. In this case we have attained a true knowledge of something when we come to a knowledge of the whole. 1 Applying this distinction to the process of definition, St. Thomas shows that there are two ways of defining a whole. An example of this application concerns the definition of a continuum. A continuum is a kind of whole and, therefore, can be defined in terms of its parts. If we defined the continuum "secundum viam resolutionis," the continuum is said to be that which is divisible to infinity. But if a continuum is defined "secundum viam compositionis," it is said to be that whose parts are joined in a common termination.2

If we now apply what St. Thomas said concerning the mode of defining which Aristotle used in his definition of the soul, we can see that the words <u>et</u> . . . <u>et</u> are suitable for indicating the composition appropriate to the definition of the soul as a potestative whole. This same idea was very well expressed by St. Albert.

Nihil autem prohibet quod totum potestativum definitur per partes suas, sicut compositum per partes definitur. Est enim potestas totius expleta in partibus et in partium collectione et unione.

An objection to the interpretation of the words et . . . et

4St. Albert, De Fortitudine, tract. II, q. 2, a. 3, n. 4.

<sup>1</sup>St. Thomas, In II Metaph., lect. 2, n. 278.

<sup>2</sup>St. Thomas, In IIIPhys., lect. 1, n. 3.

<sup>3&</sup>quot;Aristoteles autem voluit quod componendo." St. Thomas, In I De Anima, lect. 1, n. 9.

as conjunctive arises from the belief that it would make the definition appropriate only to the intellective soul. This objection does not take into account the mode of definition appropriate to the soul as a potestative whole nor the mode of definition appropriate to the intellective soul. As we have seen the mode of definition appropriate to the soul as a potestative whole, it only remains to see the mode of definition appropriate to the intellective soul.

Aristotle gives a general mode of defining which he finds appropriate to the definition of the vegetative soul.

Quoniam autem <u>a fine</u> appellari omnia, iustum est, finis autem est, et generasse quale ipsum est: utique prima<sub>l</sub>anima et generativa talis quale est ipsum.

In his commentary on this passage, St. Thomas brings out the meaning of a definition a fine. Among the operations of the vegetative soul there is an order. The first operation is nutrition, which is simply ordered to preserving something as it is. The second operation is growth, which is a more perfect operation, because by it the living body gains a greater perfection both according to quantity and according to power. The third operation is generation: it is the most perfect and definitive operation of the vegetative soul. By this operation, the living being, which already exists according to the perfection appropriate to it, passes on its perfection and being to another. For each thing is most perfect when it can make another being like itself. Since it is fitting that all things be defined and denominated from the end, or what is definitive, and since the definitive operation of the vegetative soul is generation, the vegetative soul should be defined as that which is generative of another like itself in species. 2

If we apply this mode of defining to the intellective soul, the proper definition will be taken from the highest operation

Aristotle, De Anima, II, c. 4, 416 b 23 (italics mine).

<sup>2</sup>St. Thomas, In II De Anima, lect. 9, n. 347.

of the intellective soul, i.e. reason, so that the definition of the intellective soul will not contain a conjunction of its powers.

It seems reasonable to conclude that Aristotle used the conjunctions <u>et . . . et</u> according to their proper function; that is, conjunctively. The soul is being defined as a potestative whole composed of parts. All of the distinct parts must be mentioned. If any part is omitted, the definition is not complete. Also, the parts must be shown as parts, which only in composition constitute the soul. Therefore, these words, <u>et</u>, are not taken distributively or disjunctively but rather conjunctively.

# g) Movemur

As we noted in the introduction, this word does not appear in the second definition of the soul according to the best critical texts.

Our problem is to determine whether the presence or absence of this word affects the interpretation of the definition. We shall consider first the opinions of the commentators to see if they saw any difficulty concerning the inclusion or exclusion of this word. The next investigation will be of the proper procedure to be used in determining the content of a definition which is compositive, for the mode of procedure appropriate to the definition of a potestative whole will aid us in determining the parts which should be placed in the definition. The third consideration will be of Aristotle's approach to the second definition of the soul to see how he determined what was to be included in this definition. Finally, we will propose a reason for Aristotle's omission of this word.

The commentaries are divided in their judgment as to whether the word <u>movemur</u> should be included in the definition or excluded from it. We will first present a commentary which finds no difficulty in including this word; then, a commentary which finds no difficulty in excluding it. We will also present two